

Ethics & Standards Committee Charter

August 2021

1. PURPOSE OF CHARTER

The Ethics & Standards Committee (**the Committee**) is a standing committee of Haven Wealth Partners (**Haven**).

The goal of the Ethics & Standards Committee of Haven is to incorporate ethical and religious screens, filters and practices into Haven's portfolio, ensure the Fund remains compliant with these screens, addressing ethical issues, facilitating ethical dialogue, improving communication, developing policies and promoting ethics education.

The Committee's mission is to ensure the Fund secures and maintains necessary accreditations so the Fund remains true to its label and is open for investment by individuals and organisations seeking ethical and religion compliant investments. The Committee serves this mission by deepening participants' knowledge and practice in the complex skills necessary for effective communication, well-coordinated delivery of services and education, community advocacy and conflict resolution. The members of this committee also embrace and endeavour to exemplify the behaviours driven by Haven and the board.

2. SCOPE OF THE COMMITTEE:

The role of the Committee is to act as the visible and approachable representatives for the facilitation of communication, education and collaboration between investors, the community and the Committee. The responsibilities of individuals on the committee include attendance at twice-yearly meetings.

The work of the Committee includes the review, management, and application of screens to the portfolio and investable universe, ensuring continued certification of the Fund by community partners/organisations, establishment and ongoing supervision of the approved charity and benevolent organisations, and oversight of dividend purification.

3. DECISION MAKING PROCESS AND FALLBACK:

Decisions are made by consensus, and activities are guided by an annual survey of members. Input from investors, management, community groups, and government and industry bodies.

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4. STAKEHOLDERS AND ROLES:

The Committee consists of representation from the independent experts in ethics and Haven's CEO as well as other Haven Director/s.

5. MEETING TIMES AND FREQUENCY:

The committee shall meet at least twice a year and may meet quarterly as necessary. The committee will take minutes of their meetings and provide copies to the board for the official record.

The committee will designate one individual from among its members to serve as committee chair. Each member will sign a letter of commitment to the committee. The chair will set the agenda for meetings and preside over meetings. A quorum shall consist of a majority of the committee members

6. EXPENSES AND COMPENSATION

Committee members will serve without additional compensation. The company will reimburse all committee members for committee-related expenses they actually incur.

7. OUTSIDE ADVISORS

The committee may retain independent counsel or other advisors as it deems necessary to conduct investigations into matters within the committee's scope of responsibilities at the company's expense. The chief executive officer has the authority to retain or terminate any advisor as appropriate and shall approve fees or other compensation to the advisors, which shall be paid by the company.

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